



**Wyoming Secretary of State**

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For Office Use Only

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## Securities Complaint Form

Please complete and mail or email this form to the Wyoming Compliance Division with any relevant information attached. All information provided is confidential.

### SECTION 1: Personal Information

Name:

Date of Birth:

Address:

Email Address:

Phone Number:

Investment Experience:

### SECTION 2: Investment Information

Name of Sales Person/Agent:

Firm/Company:

Firm/Company Address:

Type of Investment:

Date of Investment:

Total Amount of Money Invested: \$

Cash/Check/Money Order

\$ (Other)

How did you learn about the investment: (personal visit, phone solicitation, mailing, etc...?)

### **Section 3: Complaint Details**

Summarize your complaint details:

Name:

Date:

Signature \_\_\_\_\_